Cyber Security of Al Code of Practice and Technical Standard

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WHAT IS THE NATIONAL CYBER SECURITY CENTRE (NCSC)?

- UK's National Technical Authority on cyber security
- Part of the UK Government, but <u>not</u> a policy department or a regulator
- Collaborate with and provide advice to...
 - UK government departments
 - Industry (UK and global) and Institutions (such as Standards Bodies)
 - International counterparts
 - Academia, Think Tanks, Research Centres, etc
 - Wider society (aka the public)
- We work to...
 - Understand the evolving cyber threat
 - Develop cyber security mitigations and publish advice/guidance
 - Reduce risk by securing and improving the resilience of public & private networks
 - Respond to significant cyber security incidents

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https://www.ncsc.gov.uk/
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SCOPE OF AI CYBER WORK

- Addressing the cyber security risks to AI
- All Al systems which includes different models, tools and technologies
- Entire lifecycle of Al
- Al supply chain, particular focus on developers and deployers

WHY DID WE WANT TO DEVELOP A STANDARD?

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- Create international alignment on minimum security requirements for AI systems
- Drive good cyber security practices in organisations planning to and already deploying Al
- Supported by the cyber security community due to clear baseline expectations to protect systems and supported by the AI industry due to its reasonable/proportionate requirements

STAGES OF THE WORK

- NCSC's Guidelines for Secure AI Development
- Research outputs (risk assessment, literature reviews, organisation survey) and collaboration with other governments and in multilateral fora
- Global consultation on draft Code of Practice, technical review and publication of Code of Practice & Implementation Guide
- Development of ETSI Technical Standard and Accompanying Guide



GLOBAL CONSULTATION KEY STATS

- 12 workshops
- 123 responses (5 government responses, 10 from industry/trade associations and 9 membership bodies)
- 80% supported DSIT's proposed approach, 11% opposed and 9% don't know
- Support for the principles ranged from 83% to 90%
- Main overarching feedback was that stakeholders wanted more guidance on how to implement the Code

MAIN CHANGES TO UPDATED CODE

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- New principle on disposal and decommissioning of AI systems
- Principles now more contextualised to AI security
- Revised stakeholder groups

7

• Implementation Guide on how to implement the Code

THE NEW IMPLEMENTATION GUIDE

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- The Implementation Guide was led by John Sotiropoulos (OWASP co-chair). Technical reviews were provided by DSIT, NCSC and ICO.
- The document includes detailed actions linked to examples of AI use cases to support organisations with adhering to the Code.
- We have ensured the Guide is mapped to UK and international publications for consistency.
- The Guide has been submitted to ETSI so that it can become the accompanying guide to the future standard.

ACTIVITY SINCE PUBLICATION OF CODE

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- Further review from AI professionals and cyber security experts at ETSI
- Approval and publication of ETSI Technical Specification
- Approval and imminent publication of Accompanying Guide as a Technical Report
- Research projects (future gap analysis and mappings)
- Ongoing engagement with industry partners, international counterparts, academia and other standards bodies (CEN/CENELEC and ISO/IEC) for further consultation to upgrade TS to EN to ensure alignment of the EU AI Act
- Work with stakeholders to support future adoption of the standard inside EU market and outside EU market.

WHAT IS IN THE STANDARD (TS 104 223)?

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Secure Design

Principle 1: Raise awareness of AI security threats and risks

Principle 2: Design the AI system for security as well as functionality and performance

Principle 3: Evaluate the threats and manage the risks to the AI system

Principle 4: Enable human responsibility for AI systems

Secure Development

Principle 5: Identify, track and protect the assets

Principle 6: Secure the infrastructure

Principle 7: Secure the supply chain

Principle 8: Document data, models and prompts

Principle 9: Conduct appropriate testing and evaluation

Secure Deployment

Principle 10: Communication and processes associated with End-users and Affected Entities

Secure Maintenance

Principle 11: Communication and processes associated with End-users and Affected Entities

Principle 12: Monitor the system's behaviour

Secure End of Life

Principle 13: Ensure proper data and model disposal



Q&A

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